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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. USP2155T-CE

First Inventor Kuo Kuang LIN

Title Ceiling Fixture

Express Mail Label No. EV 326336274 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 15]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
5. Oath or Declaration [Total Pages 1]
- a. ☒ Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- b. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations]
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: /

Prior application information:

Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name Raymond Y. Chan

Address 108 N. Ynez Ave., Suite 128

City

Monterey Park

State

CA

Zip Code

91754

Country

USA

Telephone

626-571-9812

Fax

626-571-9813

Name (Print/Type)

Raymond Y. Chan

Registration No. (Attorney/Agent)

37,484

Signature

Date

11/10/2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 385.00

Complete if Known

Application Number
Filing Date
First Named Inventor Kuo Kuang LIN
Examiner Name
Art Unit
Attorney Docket No. USP2155T-CE

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number
Deposit Account Name

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	385.00
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$) 385.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims -20** = X =
Independent Claims -3** = X =
Multiple Dependent =

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1202 18	2202 9	Claims in excess of 20	
1201 84	2201 42	Independent claims in excess of 3	
1203 280	2203 140	Multiple dependent claim, if not paid	
1204 84	2204 42	** Reissue independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			(\$) 0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

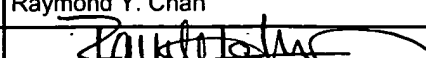
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	1052 50	2052 25	Surcharge - late filing fee or oath	
1053 130	1053 130	1812 2,520	1812 2,520	Surcharge - late provisional filing fee or cover sheet	
1804 920*	1804 920*	1805 1,840*	1805 1,840*	Non-English specification	
1805 1,840*	1805 1,840*	2251 55	2251 55	For filing a request for <i>ex parte</i> reexamination	
1251 110	2251 55	2252 205	2252 205	Requesting publication of SIR prior to Examiner action	
1252 410	2252 205	2253 465	2253 465	Requesting publication of SIR after Examiner action	
1253 930	2253 465	2254 725	2254 725	Extension for reply within first month	
1254 1,450	2254 725	2255 985	2255 985	Extension for reply within second month	
1255 1,970	2255 985	2401 160	2401 160	Extension for reply within third month	
1401 320	2401 160	2402 160	2402 160	Extension for reply within fourth month	
1402 320	2402 160	2403 140	2403 140	Extension for reply within fifth month	
1403 280	2403 140	1451 1,510	1451 1,510	Notice of Appeal	
1451 1,510	1451 1,510	1452 110	1452 110	Filing a brief in support of an appeal	
1452 110	1452 110	1453 1,300	1453 1,300	Request for oral hearing	
1453 1,300	1453 1,300	1501 1,300	1501 1,300	Petition to institute a public use proceeding	
1501 1,300	1501 1,300	1502 470	1502 470	Petition to revive - unavoidable	
1502 470	1502 470	1503 630	1503 630	Petition to revive - unintentional	
1503 630	1503 630	1460 130	1460 130	Utility issue fee (or reissue)	
1460 130	1460 130	1807 50	1807 50	Design issue fee	
1807 50	1807 50	1806 180	1806 180	Plant issue fee	
1806 180	1806 180	8021 40	8021 40	Petitions to the Commissioner	
8021 40	8021 40	1809 750	1809 750	Processing fee under 37 CFR 1.17(q)	
1809 750	1809 750	1810 750	1810 750	Submission of Information Disclosure Stmt	
1810 750	1810 750	1801 750	1801 750	Recording each patent assignment per property (times number of properties)	
1801 750	1801 750	1802 900	1802 900	Filing a submission after final rejection (37 CFR 1.129(a))	
1802 900	1802 900			For each additional invention to be examined (37 CFR 1.129(b))	
				Request for Continued Examination (RCE)	
				Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00

SUBMITTED BY

Name (Print/Type) Raymond Y. Chan Registration No. 37,484 Telephone 626-571-9812
Signature  Date 11/10/2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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In the United States Patent and Trademark Office

Commissioner for Patents
PO Box 1450, Alexandria, VA 22313-1450
Sir:

Mailed: 11/10/2003
Attorney Docket: USP2155T-CE

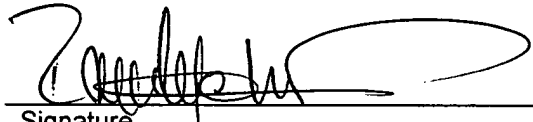
Please file the following enclosed patent application papers:

Applicant #1, Name: Kuo Kuang LIN

Title: Ceiling Fixture

- ☒ Specification, Claims, and Abstract: Nr. Of Sheets 15
- ☒ Declaration: Date Signed: 06/25/2003
- ☒ Drawing(s): Nr. Of Sheets Enc.: Formal: 4 Informal: _____
- ☒ The applicant claims small entity status. See 37 CFR 1.27
- ☐ Assignment; please record and return; recordal fee enclosed.
- ☒ Checks for \$ 385.00 for:
- ☒ \$ 385.00 for filing fee (not more than 3 independent claims and 20 total claims)
- ☐ \$ _____ Additional of Assignment is enclosed for recordal.
- ☒ The Commissioner is authorized to charge or credit any over payment to the following deposit account: David and Raymond Patent Firm Account No.: 502111.

Very respectfully,



Signature
Raymond Y. Chan
Reg. Nr. : 37,484

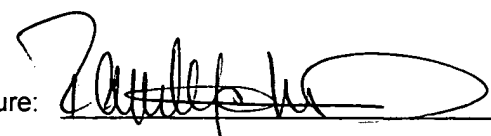
108 N. Ynez Ave., Suite 128
Monterey Park, CA 91754

Certificate of Mailing

EXPRESS MAIL NO.: EV 326336274 US

DATE OF DEPOSIT: 11/10/03

I hereby certify that this paper and enclosed application documents are being deposited with the United States Postal Service using "Express Mail To Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to "Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450".

Signature:  Date: 11/10/2003